



Ashwood Advisors, LLC®

Table of Fees for Services

Carefully read Item 4 and Item 5 of Form ADV Part 2A (“Brochure”), as these sections of the Brochure contain important details about Ashwood Advisors, LLC advisor services and fees. Fees may be negotiable. The fees below will only apply to you when you request the services listed.

Fees Charged by Investment Adviser	Fee Amount	Frequency Fee is Charged	Services
Assets Under Management Fee	Under \$50,000 1.8125% \$50,000-\$500,000 1.0625% \$500,000-\$1,000,000 0.8125% \$1,000,000 and above 0.5625%	Quarterly in Arrears	Portfolio management for individuals and/or small Businesses; Financial planning services; Consulting.
Hourly Fee	\$150.00 per hour	At time of Service	See Above
Subscription Fee	Not Applicable		
Fixed Fee	Negotiated at time of Service	At time of Service	See Above
Commissions to the Adviser	Up to 100% of initial insurance premium. 1%-25% of renewal insurance premium. Up to 5.75% for open end mutual funds. Up to 1.3% for stock/ETF trades. Up to 2.5% on bond trades. Up to 3% on closed end mutual fund trades.	At time of transaction	Insurance, annuities and non-managed brokerage accounts
Performance-based Fee	Ashwood does not charge Performance Fees		
Other	N/A		
Fees Charged by Third Parties	Fee Amount	Frequency Fee is Charged	Services
Third Party Money Manager	Not Applicable		
Robo-Adviser Fee	Not Applicable		
Talk with your Adviser about fees and costs applicable to you.			

Additional fees and costs to discuss with your Advisor

Additional Fees/Cost	Yes/No	Paid To
Brokerage Fees	Yes	National Financial Services and Commonwealth Financial Network
Commissions	Yes	National Financial Services and Commonwealth Financial Network
Custodian Fees	Yes	National Financial Services
Mark-ups	No	
Mutual Fund/ETF Fees and Expenses	Yes	Various

Effective 1/1/2019